

ATTORNEY DOCKET NO.  
057470.0137 (P0766)

PATENT APPLICATION #2  
Serial No. 09/839,515



1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fred C. Thomas III  
Serial No.: 09/839,515  
Filed: April 20, 2001  
Group No.: Unknown  
Examiner: Unknown  
Title: METHOD AND APPARATUS FOR  
SECURE TRANSMISSION OF  
IDENTIFIER FOR REMOVABLE  
STORAGE MEDIA

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Assistant Commissioner  
for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence, with enclosures, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

Name

Date:

*Judy Baggett*  
*7/10/2001*

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97 and 1.98, Applicant is submitting information which may be material to examination of the present application.

More specifically, Applicant is enclosing copies of the text and drawings of sixteen copending U.S. applications, each of which is listed on enclosed Form BB-1950. As permitted by MPEP §609(D), Applicant is using a sheet (Form BB-1950) which is different from Form PTO-1449, so that the copending applications will not be listed on Form PTO-1449, and thus will not be listed on the face of the patent which issues from the present application. The Examiner is respectfully requested to initial each entry on Form BB-1950 at the indicated location, and to then return a copy of this initialed form with the next PTO communication. In this regard, the Examiner is respectfully requested to specifically write "Form BB-1950" directly on the next communication at the location where that communication refers to Form PTO-1449, so that the PTO staff will return initialed copies of both forms.

Applicant is also enclosing copies of a number of additional documents, each of which is listed on enclosed Form PTO-1449. Of these, four are not in the English language, namely German Reference No. 43 04 506, French Reference No. 2 691 435, Japanese Reference No. 62-259284, and Japanese Reference No. 1-189091. However, each of these four non-English documents has an English abstract attached to it. The Examiner is respectfully requested to initial each entry on Form PTO-1449 at the indicated location, and to then return a copy of this initialed form with the next PTO communication.

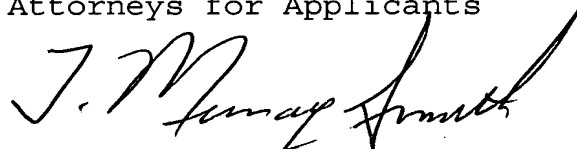
ATTORNEY DOCKET NO.  
067470.0137 (P0766)

PATENT APPLICATION  
Serial No. 09/839,515

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Favorable consideration of this application is respectfully requested.

Respectfully submitted,  
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Attorneys for Applicants



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Date: July 10, 2001

Encls: Form BB-1950, and one copy of  
each listed application  
Form PTO-1449, and one copy of  
each listed patent  
Post Card

Serial No.: 09/839,515

Applicant: Fred C. Thomas III

Docket No.: 067470.0137 (P0766)



Filed: April 20, 2001

Group: Unknown

Page: 1 of 1

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**INFORMATION DISCLOSURE CITATION -**  
**RELATED U.S. APPLICATIONS**  
(Do NOT Print on Patent)

Examiner's Initials	U.S. Patent Applications
	Thomas A. Wilke, U.S. Serial No. 09/590,508 filed June 9, 2000 (Attorney Docket Nos. 067470.0104, P0622).
	Thomas A. Wilke, U.S. Serial No. 09/591,081 filed June 9, 2000 (Attorney Docket Nos. 067470.0105, P0623).
	Thomas A. Wilke, Allen T. Bracken, Serial No. 09/591,354 filed June 9, 2000 (Attorney Docket Nos. 067470.0106, P0624).
	Thomas A. Wilke, Allen T. Bracken, Brent J. Watson, Fred C. Thomas III, U.S. Serial No. 09/591,074 filed June 9, 2000 (Attorney Docket Nos. 067470.0107, P0625).
	Thomas A. Wilke, Marvin R. DeForest, Dennis D. Ogden, Serial No. 09/591,540 filed June 9, 2000 (Attorney Docket Nos. 067470.0108, P0626).
	Allen T. Bracken, Mark L. Reimann, Theodore J. Smith, U.S. Serial No. 09/591,538 filed June 9, 2000 (Attorney Docket Nos. 067470.0113, P0627).
	Jeffery D. Penman, Todd R. Shelton, U.S. Serial No. 09/590,509 filed June 9, 2000 (Attorney Docket Nos. 067470.0114, P0628).
	Randall C. Bauck, U.S. Serial No. 09/590,498, filed June 9, 2000 (Attorney Docket Nos. 067470.0115, P0629).
	Randall C. Bauck, Allen T. Bracken, Thomas A. Wilke, David S. Greenhalgh, U.S. Serial No. 09/590,511 filed June 9, 2000 (Attorney Docket Nos. 067470.0116, P0630).
	Daniel D. Rochat, Mark L. Reimann, Allen T. Bracken, U.S. Serial No. 09/746,304 filed December 21, 2000 (Attorney Docket Nos. 067470.0121, P0659).
	Allen T. Bracken, Theodore J. Smith, Jeffery D. Penman, Todd R. Shelton, David S. Greenhalgh, Paul E. Jacobs, Spencer W. Stout, Paul C. Kunz, Scott P. Thomas, Douglas S. Reynolds, David L. Jolley, Ryan D. Osterhout, U.S. Serial No. 09/755,961 filed January 4, 2001 (Attorney Docket Nos. 067470.0125, P0751).
	Paul C. Kunz, U.S. Serial No. 09/854,354, filed May 11, 2001 (Attorney Docket Nos. 067470.0128, P0718).
	Paul C. Kunz, Ryan D. Osterhout, Theodore J. Smith, Spencer W. Stout, Scott P. Thomas, U.S. Serial No. 09/854,391 filed May 11, 2001 (Attorney Docket Nos. 067470.0129, P0719).
	Ryan D. Osterhout, Scott P. Thomas, Paul C. Kunz, Allen T. Bracken, U.S. Serial No. 09/858,073, filed May 14, 2001 (Attorney Docket Nos. 067470.0139, P0777).
	Todd R. Shelton, Theodore J. Smith, Marvin R. DeForest, Kelly D. Wright, Mark L. Reimann, Hiromichi (nmi) Oribe, Jeffery D. Penman, U.S. Serial No. 09/855,993, filed May 14, 2001 (Attorney Docket Nos. 067470.0144, P0784).
	William P. Baker, Todd R. Shelton, Theodore J. Smith, U.S. Serial No. 09/866,568 filed May 25, 2001 (Attorney Docket Nos. 067470.0146, P0791).
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the Applicant. This form is used instead of Form PTO-1449, pursuant to MPEP §609(D).	

Form BB-1950

Rev. 06-2001

PTO-1449	<b>Information Disclosure in an Application</b>	Application No. 09/839,515	Applicant(s) Fred C. Thomas III
		Docket Number 067470.0137 (P0766)	Group Art Unit Unknown
		Filing Date 04/20/2001	

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2,278,280	3/31/42	Pfeiffer, et al.			
	B	2,896,833	7/28/59	Markham			
	C	3,144,236	8/11/64	Clanin			
	D	3,692,264	9/19/72	Burkhard, et al.			
	E	3,695,421	10/3/72	Wood			
	F	4,061,228	12/6/77	Johnson			
	G	4,062,049	12/6/77	Dirks			
	H	4,359,762	11/16/82	Stollorz			
	I	4,414,576	11/8/83	Randmae			
	J	4,507,689	3/26/85	Kozuki			
	K	4,550,343	10/29/85	Nakatani			
	L	4,562,500	12/31/85	Bygdnes			
	M	4,622,607	11/11/86	Smith, II			
	N	4,639,863	1/27/87	Harrison			

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	O	0 204 299	12/10/86	EPC				
	P	0 335 490	10/4/89	EPC				
	Q	0 426 414	5/8/91	EPC				

## NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
	R	"IBM Travelstar E - External Hard Disk Drive - Installation and Operation Guide", IBM Storage Systems Division, San Jose, California, 2000, 10 sheets.
	S	
	T	

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

PTO-1449	Application No. 09/839,515	Applicant(s) Fred C. Thomas III.	
	Docket Number 067470.0137 (P0766)	Group Art Unit Unknown	Filing Date 04/20/2001

**Information Disclosure Citation  
in an Application**

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	U	4,688,206	8/18/87	Nakagawa, et al.			
	V	4,709,817	12/1/87	Keady			
	W	4,712,146	12/8/87	Moon			
	X	4,724,500	2/09/88	Dalziel			
	Y	4,754,397	6/28/88	Varaiya, et al.			
	Z	4,763,225	8/9/88	Frenkel, et al.			
	AA	4,769,764	9/6/88	Levanon			
	BB	4,791,511	12/13/88	Davis			
	CC	4,806,106	2/21/89	Mebane			
	DD	4,833,554	5/23/89	Dalziel, et al.			
	EE	4,853,807	8/1/89	Trager			
	FF	4,856,656	8/15/89	Sugimoto, et al			
	GG	4,869,369	9/26/89	Turngren			
	HH	4,884,261	11/28/89	Dalziel			
	II	4,893,210	1/9/90	Mintzlaff			

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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	JJ	62-259284	11/11/87	Japan			Abstract	
	KK	1-189091	7/28/89	Japan			Abstract	
	LL	2 228 819	9/5/90	UK				

**NON-PATENT DOCUMENTS**

**DOCUMENT (Including Author, Title, Source, and Pertinent Pages)**

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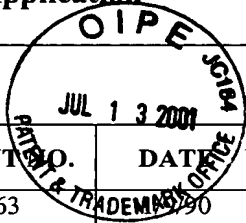
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# 2

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**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	PP	4,893,263	3/13/90	Myers			
	QQ	4,908,715	3/13/90	Krum			
	RR	4,926,291	5/15/90	Sarraf			
	SS	4,965,691	10/23/90	Iftikar, et al.			
	TT	4,974,103	11/27/90	Iftikar, et al.			
	UU	5,024,328	6/18/91	Bontrager			
	VV	5,025,335	6/18/91	Stefansky			
	WW	5,041,924	08/20/91	Blackborow, et al.			
	XX	5,065,262	11/12/91	Blackborow			
	YY	5,084,791	1/28/92	Thanos			
	ZZ	5,160,473	11/3/92	Bontrager			
	AAA	5,170,300	12/08/92	Stefansky			
	BBB	5,175,657	12/29/92	Iftikar, et al.			
	CCC	5,204,794	4/20/93	Yoshida			
	DDD	5,207,327	5/4/93	Brondos			

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							YES	NO
	EEE	2 243 940	11/13/91	UK				
	FFF	2 691 435	11/26/93	France			Abstract	
	GGG	0 210 497	2/4/87	EPC				

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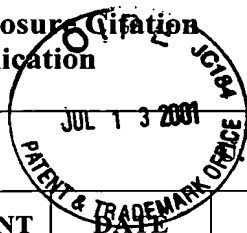
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**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	KKK	5,214,550	5/25/93	Chan			
	LLL	5,235,481	8/10/93	Kamo, et al.			
	MMM	5,241,436	8/31/93	Kawabata			
	NNN	5,253,129	10/12/93	Blackborow, et al.			
	OOO	5,258,888	11/02/93	Korinsky			
	PPP	5,303,101	4/12/94	Hatch, et al			
	QQQ	5,317,464	5/31/94	Witt, et al.			
	RRR	5,359,504	10/25/94	Ohmi, et al.			
	SSS	5,372,515	12/13/94	Miller, et al.			
	TTT	5,392,197	2/21/95	Cuntz, et al.			
	UUU	5,408,383	4/18/95	Nagasaka, et al.			
	VVV	5,412,522	5/02/95	Lockhart, et al.			
	WWW	5,426,562	6/20/95	Morehouse, et al.			
	XXX	5,438,162	8/1/95	Thompson, et al.			
	YYY	5,444,586	8/22/95	Iftikar, et al.			

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	ZZZ	43 04 506	8/18/94	Germany			Abstract	
	AAAA	WO 93/24932	12/9/93	PCT				
	BBBB							

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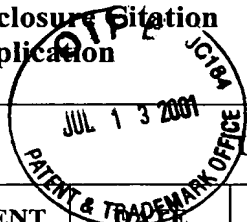
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**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	FFFF	5,448,433	9/5/95	Morehouse			
	GGGG	5,452,159	9/19/95	Stefansky			
	HHHH	5,532,889	7/2/96	Stefansky, et al.			
	IIII	5,631,788	5/20/97	Richards			
	JJJJ	5,663,855	9/02/97	Kim, et al.			
	KKKK	5,724,216	3/3/98	Iftikar, et al.			
	LLLL	5,754,357	5/19/98	Anderson, et al.			
	MMMM	5,739,995	4/14/98	Ohmi, et al.			
	NNNN	5,808,830	9/15/98	Stefansky			
	OOOO	5,808,866	9/15/98	Porter			
	PPPP	5,812,373	9/22/98	Hwang			
	QQQQ	5,943,193	8/24/99	Thayne, et al.			
	RRRR	5,949,630	9/7/99	Yamamoto, et al.			
	SSSS	5,999,406	12/7/99	McKain, et al.			
	TTTT	6,021,029	2/1/00	Mamiya et al.			

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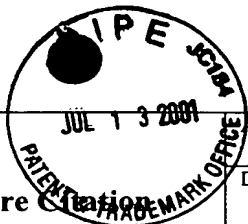
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**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AAAAA	6,028,744	02/22/00	Amirkiai, et al.			
	BBBBB	6,082,543	7/04/2000	Béliveau			
	CCCCC	6,154,360	11/28/2000	Kaczeus, Sr. et al.			
	DDDDD	H1245	10/5/93	Griswold, et al.			
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